

Independent Financial Advisor Family Office

How can independent financial advisors meet the needs of today's investors?

As an independent financial advisor or family office, you access information provided by a number of financial institutions. Each financial institution gives you different reports in varying formats, so it becomes your responsibility to consolidate your clients' positions and performances. To do so, you need software that provides comprehensive view of all of your client's assets, responds promptly to trading requests, updates positions automatically from multiple custodians, and provides consolidated reporting. Such a solution can be costly, so you probably use a number of different solutions that do not interact—leading to lost or duplicated information, which wastes time, costs money and decreases your credibility with clients.

The solution: a financial solution that has all you need—and nothing else

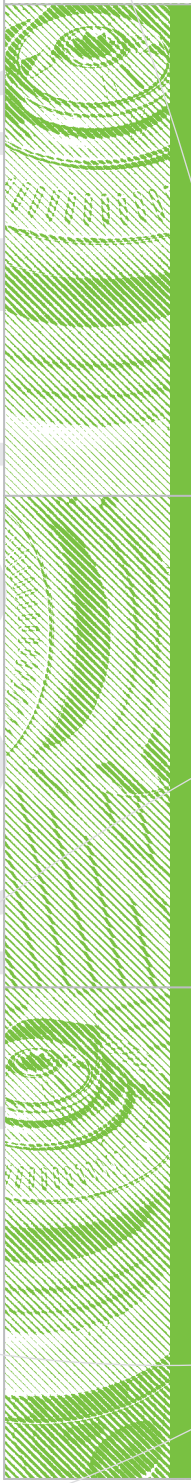
To meet your needs within your budget, you need a single solution that provides all necessary functionality. It should have flexible installation options, so it can be hosted onsite (in your office) or remotely (online as a “software as a service” (SaaS) model. Regardless of how it is hosted, you should pay only for what you use.

Prospero: cutting-edge software for today's market environment

Consider Prospero's Independent Financial Advisor module—a cost-effective solution with all the financial planning functionality you need. You can replicate portfolios held at your clients' financial institutions, trade any financial instrument and easily calculate fees—thereby streamlining your processes to improve efficiency, gain productivity and increase profitability.

Key features of Prospero's Independent Financial Advisor Module

- > Can be hosted onsite (in your office) or remotely (online)—and either way, your data will be securely stored and safe from breaches
- > Offers interfaces to other financial institutions and lets you consolidate assets held at those institutions
- > Captures trades for online routing to brokers or banks—thereby eliminating faxes and double capture, making calls unnecessary, and offering a full audit trail of orders (and less automated methods are available for brokers and banks that do not offer online routing)
- > Lets you trade any kind of financial instrument and create single or bulk orders directly from investment plans
- > Allows you to create model portfolios and rebalance vs. a benchmark or another model portfolio
- > Compares your performance to a benchmark or model portfolio and details performance attribution and contribution
- > Lets you manage several portfolios sharing the same profile with a few clicks of a mouse
- > Warns you when investment restrictions are breached
- > Updates all positions instantly in a single system
- > Calculates fees and commissions, and compares them to those charged by other firms
- > Calculates and tracks trailer fees on products and assets under management
- > Can be accessed by clients online
- > Stores all legal documents, including correspondence and financial plans, in one place
- > Stores leads, client information, investment profiles, and contact reports in a CRM module
- > Lets you add features and products as your requirements change
- > Includes a flexible, graphical reporting feature that displays results and creates fact sheets and consolidated statements
- > Gives your reports your firm's look and feel and adds a personal touch to your client relationship

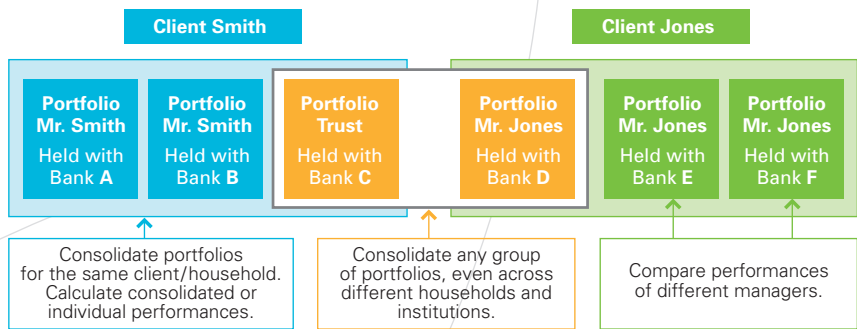


Key benefits

- > Installs easily
- > Eliminates the need for IT support beyond what you already have
- > Offers low cost of ownership
- > Saves time and eliminates errors
- > Helps you gain client buy-in and satisfaction
- > Improves your efficiency, allowing you to take on more clients
- > Helps you analyze and manage potential risk

Act now—so you can profile leads and capture market share

To obtain and retain business, family offices must provide unrivaled service—which means they must have at their fingertips all the information they need to give solid advice to clients. If you're looking for an easy-to-install financial solution with all the features you need at a low monthly fee, consider Prospero's Family Office Module.



Flexible and unlimited possibilities of consolidating, reporting, calculating and comparing performance of portfolios held with different institutions

ABOUT PROSPERO

Prospero is a revolutionary financial software that integrates front-, middle- and back-office systems, thereby filling all needs of portfolio managers, brokers, traders and other financial professionals, such as risk and operations managers. Prospero has multiple modules which cover all aspects of financial operations, including Wealth Management, Asset Management, Fund Administration, Internet Access, Independent Financial Advisor, Treasury, Trading, Credit Management & Margin Trading, and Core Operations. Modules can be implemented separately or as an integrated whole—and all will interface with a legacy system as part of a best-of-breed approach.

ABOUT SAGE

Prospero is a product of SAGE (www.sage.ch), a software development company that specializes in servicing the global financial industry. SAGE is the reference in providing integrated, modular and innovative front- to back-office banking solutions. Its goal: **Help financial professionals to focus on what they do best—managing money, not systems.**

