

Online Trading

Do you have a comprehensive online trading platform?

Today's financial companies need a platform that can trade any type of financial instrument on any exchange... Interfaces with different brokers... Is easy to use... And manages risk. Yes, manages risk. That's because in today's financial environment, risk management needs to be integrated with trading. But where can you find a platform that takes online trading one step further?

The solution: straight-through processing

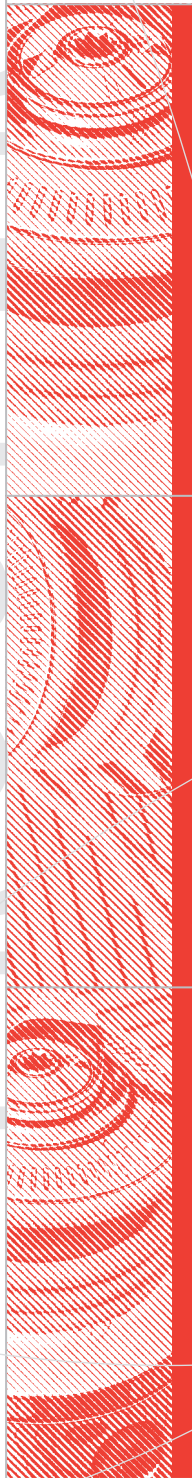
You need more than an online trading platform: You need an online trading platform with straight-through-processing—in essence, an online trading platform that adapts to different regulatory environments, manages risk by alerting you to compliance or investment restriction breaches, then post trades for you.

Prospero's Online Trading: a new kind of online trading platform

Consider the Online Trading module of Prospero, a revolutionary financial software designed to help today's asset managers streamline their operations. Prospero's Online Trading module is unique in that it is the first online trading platform that puts the client where he or she should be: in the center. Prospero's Online Trading module is built around a customer relationship management (CRM) system. As a result, it can check a client's investment profile and online borrowing power, and alert you when investment restrictions are breached.

Key features of Prospero's Online Trading

- > Operates as a stand-alone module or integrates seamlessly with a legacy system
- > Lets you trade any type of financial instrument, including stocks, bonds, funds, foreign exchange, commodities, derivatives, structured products, new issues and IPOs
- > Provides a comprehensive Order Management System for the sell-side community
- > Provides a direct connection to stock exchanges and links to the buy-side FIX® network
- > Plugs into third-party back-office or electronic-trading networks
- > Lets you send requests for quotes (RFQs) to different dealers
- > Alerts you to breaches of investment restrictions, and performs compliance checks and credit checks
- > Lets you trade on margin, create liens, sell short and monitor margin calls in real-time
- > Gives you live streaming of RSS feeds, market news, prices or delayed instrument pricing
- > Tracks orders and provides order updates filtered by status
- > Combines unique and seamless real-time execution and analytics
- > Routes orders to different execution blotters depending on asset class or exchange
- > Lets you easily set-up order workflows for approvals using flexible sets of rules and conditions
- > Lets you create fee schedules (including taxation) for each product and location
- > Links to your CRM or gives you all the CRM functionality you need with its integrated CRM
- > Allows you to expand or restrict products and trading capacities depending on your strategy and growth
- > Records trades directly into your general ledger
- > Includes live market feeds
- > Lets you brand the system as your own (white labeling)



Key benefits

- Offers flexible installation options: Can be accessed remotely (via an SaaS model) or deployed locally
- Lets you roll out new functionality and products as you need it
- Offers low cost of ownership and flexible payment terms
- Improves the productivity of your traders
- Gives you real straight-through processing
- Increases your front-, middle- and back-office efficiency
- Helps you manage risk online
- Lets you deploy one trading platform to manage all products
- Can be used by traders, relationship managers and clients

Act now—so you can increase your trading activity and revenue while controlling risk

Today's financial companies need value-added services that increase their ability to grow a large client base—and this is what sets Prospero's Online Trading apart. Increase your trading activity and revenue while controlling your risk. Call about Prospero today.

ABOUT PROSPERO

Prospero is a revolutionary financial software that integrates front-, middle- and back-office systems, thereby filling all needs of portfolio managers, brokers, traders and other financial professionals, such as risk and operations managers. Prospero has multiple modules which cover all aspects of financial operations, including Wealth Management, Asset Management, Fund Administration, Internet Access, Independent Financial Advisor, Treasury, Trading, Credit Management & Margin Trading, and Core Operations. Modules can be implemented separately or as an integrated whole—and all will interface with a legacy system as part of a best-of-breed approach.

ABOUT SAGE

Prospero is a product of SAGE (www.sage.ch), a software development company that specializes in servicing the global financial industry. SAGE is the reference in providing integrated, modular and innovative front- to back-office banking solutions. Its goal: **Help financial professionals to focus on what they do best—managing money, not systems.**

