



Wealth Management

How do you gain assets in an increasingly regulated market?

To be a successful wealth manager, you need to grow your client base and create imaginative investment proposals and vehicles tailored to the needs of high-net-worth individuals. How do you do it all—without compromising quality while minimizing your risks?

The solution: comprehensive wealth management software

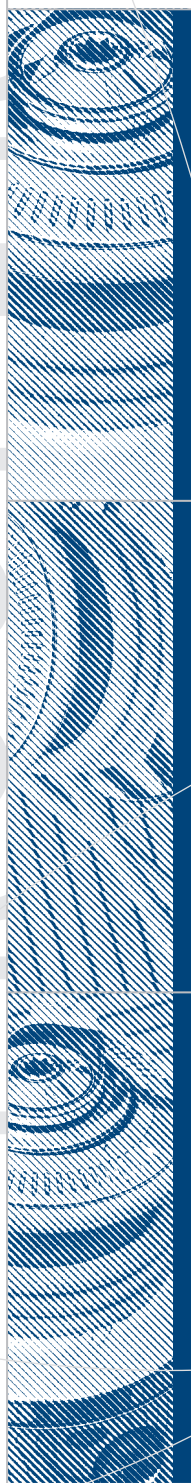
Choosing the correct software is essential. You need a system that will help you manage leads, profile clients, create investment constraints, trade all types of financial instruments, monitor compliance, benchmark your performance, create graphical reports and more. And because complex fee structures, intricate tax calculations, exceptions and new regulations have increased your back-office burden, such software should also provide accurate portfolio accounting. The answer: Commit to excellence with a state-of-the-art front-to-back-office solution.

Prospero: agile software for today's market environment

Consider the Wealth Management module of Prospero, a revolutionary financial software designed to help today's wealth management professionals streamline their operations. Prospero's Wealth Management module is a comprehensive financial platform that includes everything you need to run a wealth management business, from the front office to the back office without having to use multiple systems and spreadsheets.

Key features of Prospero's Wealth Management module

- > Stores leads, client information, investment profiles, and contact reports in a customer relationship management (CRM) module
- > Offers online access to your sales force, clients and independent financial advisors
- > Lets you manage discretionary and advisory assets
- > Lets you trade any kind of financial instrument
- > Lets you consolidate and manage assets held with external counterparties
- > Captures trades for online routing to brokers or banks
- > Lets you create investment restrictions, and warns you when investment restrictions are breached
- > Allows for flexible workflows of order authorization for increased compliance
- > Compares your performance to a benchmark or model portfolio and details performance attribution and contribution vs. benchmarks
- > Helps you manage credit risk, margin trading, and monitor margin calls and limits online
- > Offers flexibility in calculations for all types of fees
- > Supports corporate actions processing of all types of events
- > Manages all types of financial instruments from cash, FX, money market instruments to stocks, bonds, structured products, alternative investments, funds, commodity, real estate, derivatives, and any other instrument
- > Lets you calculate risk analytics
- > Includes a flexible, graphical client and MIS reporting feature
- > Operates as a stand-alone module or integrates seamlessly with a legacy system



Key benefits

- > Installs easily
- > Provides a comprehensive wealth management solution in a single system
- > Gives you the flexibility to purchase only the software you need, when you need it
- > Offers low cost of ownership and flexible payment terms
- > Provides direct connection through the web to clients, family offices and independent asset managers
- > Improves the productivity of your sales force
- > Improves your relationship with clients and independent financial advisors
- > Maximizes operational efficiency and reduces errors

Act now to increase your client satisfaction—and your market share

With Prospero’s Wealth Management module, you can easily manage general assets while catering to the needs of high-net-worth individuals—and in doing so, retain existing assets, capture more assets, and increase your profitability.

Advisory Portfolio Management	Discretionary Portfolio Management	Internet Access	Risk Analytics
Strategic & Tactical Asset Allocation	Investment Restrictions	Rebalancing	Portfolio Consolidation
Compliance, Pre- and Post- Trade Checks	CRM		Credit Risk and Margin Monitoring
Trading	Treasury	Margin Trading	Commission and Fee Billing
Corporate Actions	Core Operations	General Ledger	Client and MIS Reporting

ABOUT PROSPERO

Prospero is a revolutionary financial software that integrates front-, middle- and back-office systems, thereby filling all needs of portfolio managers, brokers, traders and other financial professionals, such as risk and operations managers. Prospero has multiple modules which cover all aspects of financial operations, including Wealth Management, Asset Management, Fund Administration, Internet Access, Independent Financial Advisor, Treasury, Trading, Credit Management & Margin Trading, and Core Operations. Modules can be implemented separately or as an integrated whole—and all will interface with a legacy system as part of a best-of-breed approach.

ABOUT SAGE

Prospero is a product of SAGE (www.sage.ch), a software development company that specializes in servicing the global financial industry. SAGE is the reference in providing integrated, modular and innovative front- to back-office banking solutions. Its goal: **Help financial professionals to focus on what they do best—managing money, not systems.**

